

Registered Office :
18, Rabindra Sarani Poddar Court,
Gate No.4, 2nd Floor, Room No. 17,
Kolkata-700001. ☎ +91 90514668474

Corporate Office :
9, Sadguru Complex, 4th Floor,
Shivranjani Croos Road, Setellite,
Ahmedabad - 380015. ☎ +91 9978622878

Date:09.10.2020

To,
Department of Corporate Services
BSE Limited,
Ground Floor, PJ Towers,
Dalal Street Fort,
Mumbai-400001

To,
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th Floor, Plot no.C62, G-block,
Opp. Trident Hotel, BandraKurla Complex,
Bandra(E)
Mumbai-400098(India)

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata-700001

BSE Script Code:538787

MCX Script Code: GBFL

CSE Script Code:17407

Sub: Quarterly Compliance of Shareholding Pattern as per SEBI Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation,2015 as on 30.09.2020

Dear Sir,

We are enclosing herewith the details of Shareholding Pattern of the Company pursuant as per Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation,2015, For the Quarter and Half year ended 30th September, 2020.

Kindly acknowledge the same.

Thanking You.

For Goenka Business & Finance Ltd.

FOR GOENKA BUSINESS & FINANCE LTD

D. R. SOLANKI
COMPANY SECRETARY / AUTHORISED SIGNATORY

DharmikSolanki
Company Secretary & Compliance Officer

General information about company

Scrip code	538787
NSE Symbol	
MSEI Symbol	GBFL
ISIN	INE997C01015
Name of the company	Goenka Business & Finance Ltd
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	1938000			1938000	14.91	1938000		1938000	14.91
(B)	Public	3906	11062100			11062100	85.09	11062100		11062100	85.09
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	3907	13000100			13000100	100	13000100		13000100	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				14.91					1938000
(B)	Public				85.09					10981247
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					12919247

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	1938000			1938000	14.91	1938000		1938000	14.91
Sub-Total (A) (1)		1	1938000			1938000	14.91	1938000		1938000	14.91
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	1938000			1938000	14.91	1938000		1938000	14.91
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3767	2873585			2873585	22.1	2873585		2873585	22.1
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	59	2819650			2819650	21.69	2819650		2819650	21.69
(e)	Any Other (specify)	80	5368865			5368865	41.3	5368865		5368865	41.3
Sub-Total (B) (3)		3906	11062100			11062100	85.09	11062100		11062100	85.09
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		3906	11062100			11062100	85.09	11062100		11062100	85.09
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		3907	13000100			13000100	100	13000100		13000100	100
Total (A+B+C)		3907	13000100			13000100	100	13000100		13000100	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				14.91					1938000
Sub-Total (A) (1)				14.91					1938000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				14.91					1938000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				22.1					2792732
(a(ii))				21.69					2819650
(e)				41.3					5368865
Sub-Total (B) (3)				85.09					10981247
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				85.09					10981247
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					12919247
Total (A+B+C)				100					12919247

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	RISE HIGH TRACOM PRIVATE LIMITED	
PAN (II)	AACCR9249M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	1938000	1938000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1938000	1938000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	14.91	14.91
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1938000	1938000
Class eg:y		
Total	1938000	1938000
Total as a % of Total Voting rights	14.91	14.91
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	14.91	14.91
Number of Locked in shares (XII)		
No. (a)		
As a % of total		

Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1938000	1938000
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	1	2	3	4	5	6
Name of the Shareholders (I)	SWARUP GUCHHAIT	HEMENDRA RATILAL MEHTA	PRADEEP RAMESHWAR SHARMA	KAMLESHBHAI KANTIBHAI LATHIGARA	NISHIL SURENDRA MARFATIA	KAUPLKUMAR HASMUKHBHAI SHAH
PAN (II)	AHGPG5098R	AAVPM3169K	AHQPS7621E	AJEPL9491M	ADWPM9452H	BGKPS5616K
No. of fully paid up equity shares held (IV)	290203	197528	190000	158850	144889	142500
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	290203	197528	190000	158850	144889	142500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.23	1.52	1.46	1.22	1.11	1.1
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	290203	197528	190000	158850	144889	142500
Class eg:y						
Total	290203	197528	190000	158850	144889	142500
Total as a % of Total Voting rights	2.23	1.52	1.46	1.22	1.11	1.1
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.23	1.52	1.46	1.22	1.11	1.1
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in	290203	197528	190000	158850	144889	142500

dematerialized form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	1123970
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1123970
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.65
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1123970
Class eg:y	
Total	1123970
Total as a % of Total Voting rights	8.65
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.65
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in	1123970

dematerialized form (XIV)	
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate				
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding				
Name of the Shareholders (I)	EVERGROWING IRON AND FINVEST LTD.	MUKESH COMMERCIAL PRIVATE LIMITED	SUBHLABH MERCHANDISE PRIVATE LIMITED	PEARL PLANET PRIVATE LIMITED	CONFIDENT FINANCIAL ADVISORY PRIVATE LIMITED	IMAGINE LOGISTICS PRIVATE LIMITED	MIDLAND FINANCIAL ADVISORY PRIVATE LIMITED
PAN (II)	AAACE1287C	AABCM7474L	AAMCS6477Q	AAGCP2354P	AADCC4022C	AACCI3971M	AAGCM6001J
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	1200000	1000000	800000	600000	600000	500000	299200
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1200000	1000000	800000	600000	600000	500000	299200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.23	7.69	6.15	4.62	4.62	3.85	2.3
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1200000	1000000	800000	600000	600000	500000	299200
Class eg:y							
Total	1200000	1000000	800000	600000	600000	500000	299200
Total as a % of Total Voting rights	9.23	7.69	6.15	4.62	4.62	3.85	2.3
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.23	7.69	6.15	4.62	4.62	3.85	2.3
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1200000	1000000	800000	600000	600000	500000	299200
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)

Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	0
No. of fully paid up equity shares held (IV)	0
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	0
Class eg:y	
Total	0
Total as a % of Total Voting rights	0
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0
Number of Locked in shares (XII)	
No. (a)	

As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	0
Reason for not providing PAN	
Reason for not providing PAN	

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence