



GOENKA BUSINESS & FINANCE LTD.

NBFC RBI CIC NO : 05.00614

Date: 11.10.2021

To,
Department of Corporate Services
BSE Limited,
Ground Floor, PJ Towers,
Dalal Street Fort,
Mumbai-400001

To,
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th Floor, Plot no.C62, G-block,
Opp. Trident Hotel, BandraKurla Complex,
Bandra(E)
Mumbai-400098(India)

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata-700001

BSE Script Code: 538787

MCX Script Code: GBFL

CSE Script Code:17407

Sub: Quarterly Compliance of Shareholding Pattern as per SEBI Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation, 2015 as on 30.09.2021

Dear Sir,

We are enclosing herewith the details of Shareholding Pattern of the Company pursuant as per Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation,2015, For the Quarter and Half year ended 30th September, 2021.

Kindly acknowledge the same.

Thanking You.

For Goenka Business & Finance Ltd.

FOR GOENKA BUSINESS & FINANCE LTD

D. R. Solanki
COMPANY SECRETARY / AUTHORISED SIGNATORY

Dharmik Solanki
Company Secretary & Compliance Officer



General information about company	
Scrip code	538787
NSE Symbol	
MSEI Symbol	GBFL
ISIN	INE997C01015
Name of the company	Goenka Business & Finance Ltd
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	1938000			1938000	14.91	1938000		1938000	14.91
(B)	Public	4651	11062100			11062100	85.09	11062100		11062100	85.09
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	4652	13000100			13000100	100	13000100		13000100	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				14.91					1938000
(B)	Public				85.09					10981247
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					12919247

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	1938000			1938000	14.91	1938000		1938000	14.91
Sub-Total (A) (1)		1	1938000			1938000	14.91	1938000		1938000	14.91
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	1938000			1938000	14.91	1938000		1938000	14.91
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(ii))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4527	3166744			3166744	24.36	3166744		3166744	24.36
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	60	4174872			4174872	32.11	4174872		4174872	32.11
(e)	Any Other (specify)	64	3720484			3720484	28.62	3720484		3720484	28.62
Sub-Total (B) (3)		4651	11062100			11062100	85.09	11062100		11062100	85.09
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		4651	11062100			11062100	85.09	11062100		11062100	85.09
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		4652	13000100			13000100	100	13000100		13000100	100
Total (A+B+C)		4652	13000100			13000100	100	13000100		13000100	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				14.91					1938000
Sub-Total (A) (1)				14.91					1938000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				14.91					1938000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				24.36					3085891
(a(ii))				32.11					4174872
(e)				28.62					3720484
Sub-Total (B) (3)				85.09					10981247
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				85.09					10981247
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					12919247
Total (A+B+C)				100					12919247

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	RISE HIGH TRACOM PRIVATE LIMITED	
PAN (II)	AACCR9249M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	1938000	1938000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1938000	1938000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	14.91	14.91
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1938000	1938000
Class eg:y		
Total	1938000	1938000
Total as a % of Total Voting rights	14.91	14.91
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	14.91	14.91
Number of Locked in shares (XII)		
No. (a)		

As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1938000	1938000
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	VORA PRANAV PRAFULCHANDRA	SWARUP GUCHHAIT	NIKHIL MAHESHKUMAR SHAH	HEMENDRA RATILAL MEHTA	PRADEEP RAMESHWAR SHARMA	NISHIL SURENDRA MARFATIA	KAUPLKUMAR HASMUKHBHAI SHAH
PAN (II)	ACYPV7581R	AHGPG5098R	ALLPS1223P	AAVPM3169K	AHQPS7621E	ADWPM9452H	BGKPS5616K
No. of fully paid up equity shares held (IV)	1000000	271142	225000	197528	190000	144889	142500
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1000000	271142	225000	197528	190000	144889	142500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.69	2.09	1.73	1.52	1.46	1.11	1.1
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1000000	271142	225000	197528	190000	144889	142500
Class eg:y							
Total	1000000	271142	225000	197528	190000	144889	142500
Total as a % of Total Voting rights	7.69	2.09	1.73	1.52	1.46	1.11	1.1
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As	7.69	2.09	1.73	1.52	1.46	1.11	1.1

a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1000000	271142	225000	197528	190000	144889	142500
Reason for not providing PAN							
Reason for not providing PAN							

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	2171059
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2171059
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	16.7
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	2171059
Class eg:y	
Total	2171059
Total as a % of Total Voting rights	16.7
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	16.7
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in	2171059

dematerialized form (XIV)	
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	MIDLAND FINANCIAL ADVISORY PRIVATE LIMITED	EVERGROWING IRON AND FINVEST LTD.	IMAGINE LOGISTICS PRIVATE LIMITED	KOMALAY TECHTRONICS PRIVATE LIMITED	Click here to go back
PAN (II)	AAGCM6001J	AAACE1287C	AACCI3971M	AAGCK0391R	Total
No. of the Shareholders (I)	1	1	1	1	0
No. of fully paid up equity shares held (IV)	1472700	1200000	500000	300000	0
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1472700	1200000	500000	300000	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.33	9.23	3.85	2.31	0
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	1472700	1200000	500000	300000	0
Class eg:y					
Total	1472700	1200000	500000	300000	0
Total as a % of Total Voting rights	11.33	9.23	3.85	2.31	0
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	11.33	9.23	3.85	2.31	0

(VII)+(X) As a % of (A+B+C2)					
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	1472700	1200000	500000	300000	0
Reason for not providing PAN					
Reason for not providing PAN					

Sr. No.	Details of the SBO	Details of the registered owner	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*	Date of creation / acquisition of significant beneficial interest	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
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