



# GOENKA BUSINESS & FINANCE LTD.

NBFC RBI CIC NO : 05.00614

Date:17.01.2023

To,  
**Department of Corporate Services  
BSE Limited,**  
Ground Floor, PJ Towers,  
Dalal Street Fort,  
Mumbai-400001

To,  
**Metropolitan Stock Exchange of India Limited**  
Vibgyor Towers, 4<sup>th</sup> Floor, Plot no.C62, G-block,  
Opp. Trident Hotel, BandraKurla Complex,  
Bandra(E)  
Mumbai-400098(India)

To,  
**The Calcutta Stock Exchange Limited**  
7, Lyons Range  
Kolkata-700001

BSE Script Code:538787

MCX Script Code: GBFL

CSE Script Code:17407

**Sub: Quarterly Compliance of Shareholding Pattern as per SEBI Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation, 2015 as on 31.12.2022**

**Dear Sir,**

We are enclosing herewith the details of Shareholding Pattern of the Company pursuant as per Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation,2015, for the Quarter ended 31<sup>st</sup> December, 2022.

Kindly acknowledge the same.

Thanking You.

**For Goenka Business & Finance Ltd.**

Pooja  
Hemang  
Khakhi

Digitally signed by  
Pooja Hemang  
Khakhi  
Date: 2023.01.17  
14:49:53 +05'30'



**Pooja H Khakhi**  
**Company Secretary & Compliance Officer**

**Registered Office**  
18, Rabindra Sarani Poddar Court,  
Gate- 4, 2nd Floor, Room 17,  
Kolkata - 700001  
90514668474

**Email:** goenkabusiness1987@gmail.com  
**Web:** www.goenkabusinessfinancelimited.in  
**CIN:** L67120WB1987PLC042960

**Corporate Office**  
9 Sadguru Complex, 4th Floor Shivrangani  
Cross Road,  
Ahmedabad, Gujarat 380015  
9978622878

General information about company	
Scrp code	538787
NSE Symbol	
MSEI Symbol	GBFL
ISIN	INE97C01015
Name of the company	Goemka Business & Finance Ltd
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0.51
As on the end of previous 1st quarter	100	0.16
As on the end of previous 2nd quarter	100	0.21
As on the end of previous 3rd quarter	100	0.19
As on the end of previous 4th quarter	100	0.43

(C1)	Shares underlying DRs											
(C2)	Shares held by Employee Trusts											
	Total	8906	13000100				13000100	100		13000100	13000100	100

Table I - Summary Statement holding of specified securities													
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)/(X) As a % of (A+B-C2)	Number of Locked in Shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				14.91					1938000			
(B)	Public				85.09					11062100	0	0	0
(C)	Non Promoter- Non Public												
	Shares												

(C2)	Shares held by Employee Trusts												
	Total				100				13000100	0	0	0	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		
								No of Voting (XIV) Rights	Class eg: X	Class eg:y
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
(1)	Indian									
(d)	Any Other (specify)	1	1938000			1938000	14.91	1938000		14.91
Sub-Total (A)(1)		1	1938000			1938000	14.91	1938000		14.91
(2)	Foreigns									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	1938000			1938000	14.91	1938000		14.91
B	Table III - Statement showing shareholding pattern of the Public shareholder									
(1)	Institutions (Domestic)									
(2)	Institutions (Foreign)									
(3)	Central Government / State Government(s)									
(4)	Non-institutions									
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	8693	4933506			4933506	37.95	4933506		37.95
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	60	4086181			4086181	31.43	4086181		31.43
(l)	Non Resident Indians (NRIs)	29	66733			66733	0.51	66733		0.51
(m)	Any Other (specify)	89	351512			351512	2.7	351512		2.7
Sub-Total (B)(4)		8905	11062100			11062100	85.09	11062100		85.09
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		8905	11062100			11062100	85.09	11062100		85.09
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
Total (A+B+C2)		8906	13000100			13000100	100	13000100		100
Total (A+B+C)		8906	13000100			13000100	100	13000100		100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
No. Of	No. of	No. Of Shares Underlying	Shareholding , as a % assuming full conversion of	Number of Locked in	Number of Shares pledged or otherwise	Number of	Sub-categorization of shares			

Sr.	Outstanding convertible securities (X)	Outstanding Warrants (Xi)	No. Of Warrants (Xi) (a)	percentage of diluted share capital) (XI)= (VII)+(X)+C2 of (A+B+C2)	(XII)		held in dematerialized form (XIV)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
					No. (a)	As a % of total Shares held (b)				
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
A	Indian									
(d)				14.91				1938000		
Sub-Total (A) (1)				14.91				1938000		
(2)	Foreign									
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				14.91				1938000		
Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)									
(2)	Institutions (Foreign)									
(3)	Central Government / State Government(s)									
(4)	Non-institutions									
(g)				37.95				4933506	0	0
(h)				31.43				4086181	0	0
(i)				0.51				66733	0	0
(l)				12.49				1624168	0	0
(m)				2.7				351512	0	0
Sub-Total (B) (4)				85.09				11062100	0	0
Total Public Shareholding: (B)=(B)(1)+ (B)(2)+(B)(3)+(B)(4)				85.09				11062100	0	0
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)				100				13000100		
Total (A+B+C )				100				13000100		
Any Other (specify)										
Serial No.	1									
Category	Bodies Corporate							Click here to go back		
Name of the Shareholders (I)	RISE HIGH TRACOM PRIVATE LIMITED									
PAN (II)	AACCR9249M							Total		
No. of the Shareholders (I)	1							1		
No. of fully paid up equity shares	1038000							1038000		

Any Other (specify)									
Serial No.	1								
Category	Bodies Corporate								Click here to go back
Name of the Shareholders (I)	RISE HIGH TRACOM PRIVATE LIMITED								
PAN (II)	AACCR9249M								Total
No. of the Shareholders (I)	1								1
No. of fully paid up equity shares held (IV)	1938000								1938000
No. Of Partly paid-up equity shares held (V)									
No. Of shares underlying Depository Receipts (VI)									
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1938000								1938000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	14.91								14.91
Number of Voting Rights held in each class of securities (IX)									
Class eg: X	1938000								1938000
Class eg:y									
Total	1938000								1938000
Total as a % of Total Voting rights	14.91								14.91
No. Of Shares Underlying Outstanding convertible securities (X)									
No. of Shares Underlying Outstanding Warrants (Xi)									
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)									
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	14.91								14.91
Number of Locked in shares (XII)									
No. (a)									
As a % of total Shares held (b)									
Number of Shares pledged or otherwise encumbered (XIII)									
No. (a)									
As a % of total Shares held (b)									
Number of equity shares held in dematerialized form (XIV)	1938000								1938000
Reason for not providing PAN									
Reason for not providing PAN									
Shareholder type	Promoter								

Bodies Corporate					
Serial No.	1	2	3	4	5
Name of the Shareholders (I)	EVERGROWING IRON AND FINVEST LTD.	NIKIL MAHESHKUMAR SHAH	KOMALAY INVESTRADE PRIVATE LIMITED	ANU/STUP TRADING	Click here to go back
PAN (II)	AAACE1287C	ALLPS1223P	AAGCK0391R	AAACA1034B	Total
No. of fully paid up equity shares held (IV)	1200000		175375	141000	1516375
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1200000		175375	141000	1516375
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.23		1.35	1.08	11.66
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	1200000		175375	141000	1516375
Class eg:y					
Total	1200000		175375	141000	1516375
Total as a % of Total Voting rights	9.23		1.35	1.08	11.66
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.23		1.35	1.08	11.66
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	1200000		175375	141000	1516375
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	KASHYAPKUMAR RAJENDRAKUMAR MEHTA .	Nikhil Maheshkumar Shah	SWARUP GUCHHAI	PRADEEP RAMESHWAR SHARMA	USHA DEVI AGARWAL	KAUPLIKUMAR HASMUKHBBHAI SHAH .	RASHMI RATILAL MEHTA
PAN (II)	ABAFAL739P	ALLPS1223P	AHGPG5098R	AHQPS7621E	AFDPA3167L	BGKPPS5616K	DGXPM2434L
No. of fully paid up equity shares held (IV)	810000	225000	206121	190000	173344	142500	138905
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII)= (IV)+ (V)+ (VI)	810000	225000	206121	190000	173344	142500	138905
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.23	1.73	1.59	1.46	1.33	1.1	1.07
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	810000	225000	206121	190000	173344	142500	138905
Class eg:y							
Total	810000	225000	206121	190000	173344	142500	138905
Total as a % of Total Voting rights	6.23	1.73	1.59	1.46	1.33	1.1	1.07
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (X) (e)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.23	1.73	1.59	1.46	1.33	1.1	1.07
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	810000	225000	206121	190000	173344	142500	138905
Reason for not providing PAN							
Reason for not providing PAN							
Sub-categorization of shares							
Sub-category (i)	0	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	1885870
No. Of Partly paid-up equity shares held (V)	