



GOENKA BUSINESS & FINANCE LTD.

NBFC RBI CIC NO : 05.00614

Date:19.01.2024

To,
**Department of Corporate Services
BSE Limited,**
Ground Floor, PJ Towers,
Dalal Street Fort,
Mumbai-400001

To,
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th Floor, Plot no.C62, G-block,
Opp. Trident Hotel, BandraKurla Complex,
Bandra(E)
Mumbai-400098(India)

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata-700001

BSE Script Code:538787

MCX Script Code: GBFL

CSE Script Code:17407

Sub: Quarterly Compliance of Shareholding Pattern as per SEBI Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation, 2015 as on 31.12.2023

Dear Sir,

We are enclosing herewith the details of Shareholding Pattern of the Company pursuant as per Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation, 2015 for the Quarter ended 31st December, 2023.

Kindly acknowledge the same.

Thanking You.

For Goenka Business & Finance Ltd.

Gori Yasin
Abdul
Sattar
Digitally signed
by Gori Yasin
Abdul Sattar
Date: 2024.01.19
18:41:18 +05'30'



Mr. Yasin Gori
Whole time Director
DIN:08221979

Registered Office

18, Rabindra Sarani Poddar Court,
Gate- 4, 2nd Floor, Room 17,
Kolkata - 700001
90514668474

Email: goenkabusiness1987@gmail.com
Web: www.goenkabusinessfinancelimited.in
CIN: L67120WB1987PLC042960

Corporate Office

9 Sadguru Complex, 4th Floor Shivranjani
Cross Road,
Ahmedabad, Gujarat 380015
9978622878

| General information about company | |
|--|-------------------------------|
| Scrip code | 538787 |
| NSE Symbol | |
| MSEI Symbol | GBFL |
| ISIN | INE997C01015 |
| Name of the company | Goenka Business & Finance Ltd |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2023 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table VI - Statement showing foreign ownership limits

| Particular | Approved limits (%) | Limits utilized (%) |
|---------------------------------------|---------------------|---------------------|
| As on shareholding date | 100 | 0.35 |
| As on the end of previous 1st quarter | 100 | 0.4 |
| As on the end of previous 2nd quarter | 100 | 0.49 |
| As on the end of previous 3rd quarter | 100 | 0.51 |
| As on the end of previous 4th quarter | 100 | 0.42 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 1 | 1938000 | | | 1938000 | 14.91 | 1938000 | | 1938000 | 14.91 |
| (B) | Public | 9236 | 11062100 | | | 11062100 | 85.09 | 11062100 | | 11062100 | 85.09 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 9237 | 13000100 | | | 13000100 | 100 | 13000100 | | 13000100 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|------------------------------|-------------------|--------------------|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| (A) | Promoter & Promoter Group | | | | 14.91 | | | | | 1938000 | | | |
| (B) | Public | | | | 85.09 | | | | | 11062100 | 0 | 0 | 0 |
| (C) | Non Promoter-Non Public | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | |
| | Total | | | | 100 | | | | | 13000100 | 0 | 0 | 0 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (d) | Any Other (specify) | 1 | 1938000 | | | 1938000 | 14.91 | 1938000 | | 1938000 | 14.91 |
| Sub-Total (A)(1) | | 1 | 1938000 | | | 1938000 | 14.91 | 1938000 | | 1938000 | 14.91 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 1 | 1938000 | | | 1938000 | 14.91 | 1938000 | | 1938000 | 14.91 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions (Domestic) | | | | | | | | | | |
| (2) | Institutions (Foreign) | | | | | | | | | | |
| (3) | Central Government / State Government(s) | | | | | | | | | | |
| (4) | Non-institutions | | | | | | | | | | |
| (g) | Resident Individuals holding nominal share capital up to Rs. 2 lakhs | 9034 | 4937657 | | | 4937657 | 37.98 | 4937657 | | 4937657 | 37.98 |
| (h) | Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs | 55 | 4108664 | | | 4108664 | 31.6 | 4108664 | | 4108664 | 31.6 |
| (i) | Non Resident Indians (NRIs) | 27 | 45262 | | | 45262 | 0.35 | 45262 | | 45262 | 0.35 |
| (l) | Bodies Corporate | 30 | 1617076 | | | 1617076 | 12.44 | 1617076 | | 1617076 | 12.44 |
| (m) | Any Other (specify) | 90 | 353441 | | | 353441 | 2.72 | 353441 | | 353441 | 2.72 |
| Sub-Total (B)(4) | | 9236 | 11062100 | | | 11062100 | 85.09 | 11062100 | | 11062100 | 85.09 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) | | 9236 | 11062100 | | | 11062100 | 85.09 | 11062100 | | 11062100 | 85.09 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 9237 | 13000100 | | | 13000100 | 100 | 13000100 | | 13000100 | 100 |
| Total (A+B+C) | | 9237 | 13000100 | | | 13000100 | 100 | 13000100 | | 13000100 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|------------------------------|-------------------|--------------------|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | | |
| (d) | | | | 14.91 | | | | | 1938000 | | | |
| Sub-Total (A) (1) | | | | 14.91 | | | | | 1938000 | | | |
| (2) | Foreign | | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) | | | | 14.91 | | | | | 1938000 | | | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | | |
| (1) | Institutions (Domestic) | | | | | | | | | | | |
| (2) | Institutions (Foreign) | | | | | | | | | | | |
| (3) | Central Government / State Government(s) | | | | | | | | | | | |
| (4) | Non-institutions | | | | | | | | | | | |
| (g) | | | | 37.98 | | | | | 4937657 | | | |
| (h) | | | | 31.6 | | | | | 4108664 | 0 | 0 | 0 |
| (i) | | | | 0.35 | | | | | 45262 | 0 | 0 | 0 |
| (l) | | | | 12.44 | | | | | 1617076 | 0 | 0 | 0 |
| (m) | | | | 2.72 | | | | | 353441 | 0 | 0 | 0 |
| Sub-Total (B) (4) | | | | 85.09 | | | | | 11062100 | 0 | 0 | 0 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) | | | | 85.09 | | | | | 11062100 | 0 | 0 | 0 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 13000100 | | | |
| Total (A+B+C) | | | | 100 | | | | | 13000100 | | | |

Any Other (specify)

| | | |
|--|----------------------------------|---------------------------------------|
| Searial No. | 1 | |
| Category | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | RISE HIGH TRACOM PRIVATE LIMITED | |
| PAN (II) | AACCR9249M | Total |
| No. of the Shareholders (I) | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 1938000 | 1938000 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1938000 | 1938000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 14.91 | 14.91 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 1938000 | 1938000 |
| Class eg:y | | |
| Total | 1938000 | 1938000 |
| Total as a % of Total Voting rights | 14.91 | 14.91 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 14.91 | 14.91 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |

| | | |
|---|----------|---------|
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 1938000 | 1938000 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | Promoter | |

Bodies Corporate

| | | | | |
|--|-----------------------------------|------------------------------------|-----------------|---------------------------------------|
| Searial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | EVERGROWING IRON AND FINVEST LTD. | KOMALAY INVESTRADE PRIVATE LIMITED | ANUSTUP TRADING | Click here to go back |
| PAN (II) | AAACE1287C | AAGCK0391R | AASCA1034B | Total |
| No. of fully paid up equity shares held (IV) | 1200000 | 175375 | 141000 | 1516375 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1200000 | 175375 | 141000 | 1516375 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 9.23 | 1.35 | 1.08 | 11.66 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 1200000 | 175375 | 141000 | 1516375 |
| Class eg:y | | | | |
| Total | 1200000 | 175375 | 141000 | 1516375 |
| Total as a % of Total Voting rights | 9.23 | 1.35 | 1.08 | 11.66 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 9.23 | 1.35 | 1.08 | 11.66 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in | 1200000 | 175375 | 141000 | 1516375 |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | | | |
|--|--|-------------------------------|--------------------|--------------------------------|-------------------------------------|----------------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Name of the Shareholders (I) | KASHYAPKUMAR RAJENDRAKUMAR MEHTA . | NIKHIL MAHESHKUMAR SHAH | SWARUP GUCHHAIT | PRADEEP RAMESHWAR SHARMA | KAUPLKUMAR HASMUKHBHAI SHAH . | RASHMI RATILAL MEHTA |
| PAN (II) | ABAF1739P | ALLPS1223P | AHGP5098R | AHQPS7621E | BGKPS5616K | DGXPM2434L |
| No. of fully paid up equity shares held (IV) | 810000 | 225000 | 222888 | 190000 | 142500 | 138905 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 810000 | 225000 | 222888 | 190000 | 142500 | 138905 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 6.23 | 1.73 | 1.71 | 1.46 | 1.1 | 1.07 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 810000 | 225000 | 222888 | 190000 | 142500 | 138905 |
| Class eg:y | | | | | | |
| Total | 810000 | 225000 | 222888 | 190000 | 142500 | 138905 |
| Total as a % of Total Voting rights | 6.23 | 1.73 | 1.71 | 1.46 | 1.1 | 1.07 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 6.23 | 1.73 | 1.71 | 1.46 | 1.1 | 1.07 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in | 810000 | 225000 | 222888 | 190000 | 142500 | 138905 |

| | | | | | | |
|------------------------------|---|---|---|---|---|---|
| dematerialized form (XIV) | | | | | | |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |
| Sub-categorization of shares | | | | | | |
| Sub-category (i) | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-category (ii) | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-category (iii) | 0 | 0 | 0 | 0 | 0 | 0 |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | |
|--|---------------------------------------|
| Searial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 1729293 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1729293 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 13.3 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 1729293 |
| Class eg:y | |
| Total | 1729293 |
| Total as a % of Total Voting rights | 13.3 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 13.3 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in | 1729293 |

| | |
|------------------------------------|---|
| dematerialized form (XIV) | |
| Reason for not providing PAN | |
| Reason for not providing PAN | |
| Sub-categorization of shares | |
| Shareholding (No. of shares) under | |
| Sub-category (i) | 0 |
| Sub-category (ii) | 0 |
| Sub-category (iii) | 0 |

