



GOENKA BUSINESS & FINANCE LTD.

Registered Office:

18, Rabindra Sarani
Poddar Court, Gate No.4
2nd Floor, Room No.17,
Kolkata-700001
PH.+91 9051466844

Corporate office:

C-1003, 10th floor,
Titanium City Center
Opp. Seema Hall,
Ahmedabad-380015
PH. +91 9978622878

Date:14.05.2020

To,
Department of Corporate Services
BSE Limited,
Ground Floor, PJ Towers,
Dalal Street Fort,
Mumbai-400001

To,
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th Floor, Plot no.C62, G-block,
Opp. Trident Hotel, BandraKurla Complex,
Bandra(E)
Mumbai-400098(India)

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata-700001

BSE Script Code:538787

MCX Script Code: GBFL

CSE Script Code:17407

Sub: Submission of Annual Secretarial Compliance Report for year ended March 31,2020 as per Circular No. CIR/CFD/CMD1/27/2019 dated 8th February, 2019 issued by SEBI.

Dear Sir,


In terms of the Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Annual Secretarial Compliance Report for the year ended March 31, 2020, is enclosed herewith.

Kindly acknowledge the same.

Thanking You.

For Goenka Business & Finance Ltd.

FOR GOENKA BUSINESS & FINANCE LTD


COMPANY SECRETARY / AUTHORISED SIGNATORY

DharmikSolanki
Company Secretary & Compliance Officer



Aanal Satyawadi & Co.
Company Secretary

+917383535603

asandco.cs@gmail.com

Goenka Business and Finance Limited
Secretarial compliance report
For the year ended 31st March, 2020.

To,
Goenka Business and Finance Limited
18, Rabindra Sarani, Poddar Court,
Gate No. 4, 2nd Floor, Room No.17
Kolkata, WB- 700001.

I have examined:

- (a) All the documents and records made available to us and explanation provided by Goenka Business and Finance Limited ("the listed entity"),
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2020 ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

Specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-



Corporate Office : 5, Devashish Complex, Nr. Bawarchi Rest., Off. C. G. Road, Ahmedabad-380006
Registered Office : C-904, Shreeji Towers, Opp. Himalaya Mall, Drive - In-Road, Ahmedabad-380052

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009 or Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018, as may be applicable.
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback Securities) Regulations, 2018; (Not applicable to the company during the reporting period)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable to the company during the reporting period)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the company during the reporting period)
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations 2013; (Not applicable to the company during the reporting period)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; (herein after as "Insider Trading Regulation")
- (i) The Securities and Exchange Board of India (Depositories and Participants) Regulations 1996 and/or The Securities and Exchange Board of India (Depositories and Participants) Regulations 2018, to the extent applicable for relevant compliance.
- (j) The Securities and Exchange Board of India (Registrar to an Issue and Share Transfer Agents) Regulations, 1993 regarding the act and dealing with the client.

And Circulars/ guidelines issued there under and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under;

The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under



insofar as it appears from my examination of those records;

- (c) There are no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

However, Company is undergoing Forensic Audit of Books of Accounts and other Documents since February 2018, initiated by BSE Limited and the same is pending for finding report.

Date: 05/05/2020
Place: Ahmedabad



For, Aanal Satyawadi & Co.
(Company Secretary)

Aanal Satyawadi
Aanal Satyawadi

Proprietor
FCS No.9505
CP No.11558