

Registered Office :  
18, Rabindra Sarani Poddar Court,  
Gate No.4, 2nd Floor, Room No. 17,  
Kolkata-700001. ☎ +91 90514668474

Corporate Office :  
C-1003, 10th Floor, Titanium City Centre,  
Opp. Seema Hall, Prahladnagar,  
Ahmedabad -380015. ☎ +919978622878

GBFL/SEC.DEP/2019-20/032

Date: 16.10.2019

To,  
Department of Corporate Services  
BSE Limited,  
Ground Floor, PJ Towers,  
Dalal Street Fort,  
Mumbai-400001

To,  
Metropolitan Stock Exchange of India Limited  
Vibgyor Towers, 4<sup>th</sup> Floor, Plot no.C62, G-block,  
Opp. Trident Hotel, Bandra Kurla Complex,  
Bandra(E)  
Mumbai-400098(India)

To,  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata-700001

BSE Script Code:538787

MCX.Script Code: GBFL

CSE Script Code:17407

**SUB: SUBMISSION OF QUARTERLY COMPLIANCE PROVISION OF REGULATION 55A OF THE SEBI  
(DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 1996**

Dear Sir,

With reference to above, please find enclosed herewith the following documents in compliance with Provision Of Regulation 55A Of The SEBI (Depositories And Participants) Regulations, 1996.

Reconciliation of Share Capital Audit Report as certified by Aanal Satyawadi & Co. , Company Secretary for the quarter ended September, 2019.

Kindly acknowledge the same.

Thanking You.

For Goenka Business & Finance Ltd.

**FOR GOENKA BUSINESS & FINANCE LTD**

*D. R. Solanki*

COMPANY SECRETARY / AUTHORISED SIGNATORY

Dharmik Solanki

Company Secretary & Compliance Officer



# Aanal Satyawadi & Co. Company Secretary

+917383535603

asandco.cs@gmail.com

Name of Co.: GOENKA BUSINESS & FINANCE LIMITED

ISIN: INE997C01015

## RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

01	For the quarter ended	30 <sup>th</sup> SEPTEMBER, 2019	
02	ISIN	INE997C01015	
03	Face Value	Equity Shares of Rs.10/- each	
04	Name of the company	GOENKA BUSINESS & FINANCE LIMITED	
05	Registered office Address	18, Rabindra Sarani, Poddar Court, Gate No. 4, 2nd Floor, Room No.17 Kolkata-700001	
06	Correspondence Address	302 Pink Point Complex , Opp. Vivekanand Statue, Dr. Yagnik Rd. Rajkot-360001	
07	Telephone & fax Nos.	Phone:033-32549616	
08	E-mail Address	<a href="mailto:goenkabusiness1987@gmail.com">goenkabusiness1987@gmail.com</a>	
09	Name of the Stock Exchanges Company's securities are listed	Bombay Stock Exchange Limited, ("BSE") The Calcutta Stock Exchange limited ("CSE") Metropolitan Stock Exchange of India Limited (formally known as MCX-SX Stock Exchange) Metropolitan	
		No. of Shares	% of Total issued capital
10	Issued Capital	1,30,00,100	100
11	Listed Capital (exchange wise)(as per Company records)	1,30,00,100	100
12	Held in Dematerialized Form in CDSL	99,04,192	76.19
13	Held in Dematerialized Form in NSDL	30,15,055	23.19
14	Physical	80,853	0.62
15	Total No. of Shares (12+13+14)	1,30,00,100	100

Note: As informed by R & T Agents they had not received any application for dematerialization of shares however, there were inter depository transfer in the Demat form.



Corporate Office : 5, Devashish Complex, Nr. Bawarchi Rest., Off. C. G. Road, Ahmedabad-380006

Registered Office : C/904, Shreeji Towers, Opp. Himalaya Mall, Drive-In-Road, Ahmedabad-380052



Name of Co.: GOENKA BUSINESS &amp; FINANCE LIMITED

ISIN: INE997C01015

16	reasons for difference, if any, between (10 &11), (10&15) and (11&15)				Difference between (10 &11) & (10 & 15):		
					Buy Back of Shares of the Company		NIL
					Difference between (11&15): Nil		
17	Certifying the details of changes in Share Capital during the Quarter under consideration as per the table below.						
	Particulars	No. of Shares	Applied/Not Applied for Listing	Listed on Stock exchange (Specify Name)	Whether Intimated to CDSL	Whether Intimated to NSDL	In-principle Approval Pending For (Specify Name)
	-----Not Applicable-----						
18	Register of members is updated if not, updated up to which date					30.09.2019	
19	Reference of previous quarter with regards to excess dematerialized shares, if any.					Nil	
20	Has the company resolved the matter mentioned in point No.19 above in the current quarter? If not, reasons why?					Nil	
21	Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with reasons for delay.					Nil	
	Total No. of Demat Requests		No. of requests	No. of Shares		Reasons for Delay	
	a. Confirmed after 21 days		NIL	NIL		NIL	
	b. Pending for more than 21 days		NIL	NIL		NIL	
22	Name Telephone & Fax No of the Compliance officer of the Co.				<b>Mr. Dharmik Solanki</b> Compliance officer Contact No. +91- 9624917527		
23	Name, Address, Tel. & Fax No., Regn. No. of the Auditor/ Practising Company Secretary				<b>Aanal Satyawadi</b> <b>FCS- 9505 PCS- 11558</b> C-904, Shreeji Towers, Opp. Himalya Mall, Drive-in Road, Ahmedabad - 380052		
24	Appointment of Common Agency for share Registry work				<b>ABS CONSULTANT PRIVATE LIMITED</b>		



Name of Co.: GOENKA BUSINESS & FINANCE LIMITED

ISIN: INE997C01015

		Stephen House, Room No.99 6 <sup>th</sup> floor, 4 B B D BAG(EAST) Kolkata-700001
25	Any other Detail that the auditor may like to provide (e.g. BIFR company Delisting from SE)	Nil

Date: 15/10/2019

Place: Ahmedabad



For, Aanal Satyawadi & Co.  
(Company Secretary)

*Aanal Satyawadi*

Aanal Satyawadi  
Proprietor  
FCS No.9505  
CP No.11558



Certificate Under Regulation 40(9) Of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulation, 2015.

**For The Half Year Ended 30<sup>th</sup> September, 2019**

I have examined all Share Transfer Deeds, Memorandums of Transfers, Registers, files and other documents relating to **Goenka Business & Finance Ltd**, having its registered office at **18, Rabindra Sarani, Poddar Court, Gate No. 4, 2nd Floor, Room No.17 Kolkata - 700001** maintained by **Abs Consultant Pvt. Ltd.**, Stephen House, Room No.99 6<sup>th</sup> Floor, 4 B B D Bag(East) Kolkata-700001 pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India(Listing Obligation and Disclosure Requirements) Regulation, 2015 and other recognised Stock Exchange and based on the information provided by the Company, I hereby certify that the company has delivered during half year ended on **30<sup>th</sup> September, 2019**.

(A) 1.a. Share Certificate(s) relating to transfer of Shares received, during the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** as entered in the Memorandum of Transfer have been issued within fifteen days.

1.b. And during the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019**: As per the records made available to us there were no requests relating to exchange or endorsement of calls/ allotment of monies received during the said period.

Date: 15/10/2019  
Place: Ahmedabad



For, Aanal Satyawadi & Co.  
(Company Secretary)

*Aanal Satyawadi*

Aanal Satyawadi  
Proprietor  
ACS No.31502  
CP No.11558

